

*[Insert CAB Logo]*

In-Transition to MSC (ITM) Program - Pilot

Marine Stewardship Council

ITM Eligibility Report

[Fishery name]

|  |  |
| --- | --- |
| Conformity Assessment Body (CAB) |  |
| ITM Fishery |  |
| ITM Project Manager |  |
| Date |  |

*Instructions to CABs and assessment teams*

*This template details the information required from Conformity Assessment Bodies (CABs) when verifying the eligibility of a fishery that wishes to enter the Marine Stewardship Council (MSC) In-Transition to MSC Program pilot.*

*Please complete all unshaded fields. For all instructions, notes and guidance indicated in italics, please delete and replace with your specific information where relevant, e.g. the ‘Instructions to CABs and assessment teams’ section.*

*This report should be completed by the CAB based on information provided by the ITM Project Manager as outlined in the ITM Program Requirements and Guidance – Pilot v2.0.*

*Section 2 applies to checks and verification of the Pre-Assessment or MSC Full Assessment Report. Where eligibility is being verified by the same CAB that conducted the Pre-Assessment or the fishery is entering with a Full Assessment report, completion of Section 2.2 will not be required.*

*Full Assessment Report means any report produced during an MSC Assessment against the MSC Fisheries Standard including Announcement Comment Draft Report (ACDR), Client and Peer Review Draft Report (CPRDR), Public Comment Draft Report (PCDR), Final Draft Report (FDR), Public Certification Report (PCR) and latest Surveillance Reports.*

*Section 3 applies to the Improvement Action Plan.*

*This report will be made publicly available on the MSC website and therefore should contain no confidential information (ITM Program Requirements and Guidance – Pilot v2.0, Section 4).*

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1. ITM Eligibility Report

*Note: The term ‘accepted’ means that the report/information has been provided and accepted by the CAB for review and verification.*

* 1. Overview

Table 1.1 Eligibility for ITM

|  |  |
| --- | --- |
| Pre-assessment / Full Assessment Report(s) accepted? | *Yes / No* |
| Improvement Action Plan accepted? | *Yes / No* |
| BMT accepted? | *Yes / No* |
| Fishery judged able to enter full assessment (or start the process of reinstating its certificate) after completion of the ITM period | *Yes / No* |
| Eligibility status determination | *Eligible / Ineligible* |
| Rationale for eligibility status determination |  |
| Date of ITM eligibility determination | *dd/mm/yyyy* |

* 1. Unit(s) of Assessment (UoA)

*Add/delete rows depending on the number of Units of Assessment (UoAs).*

*For vessel or fleet description, please include details about the number of vessels and vessel size of the UoA.*

Table 1.2 Unit(s) of Assessment (UoA) from Pre-Assessment or Full Assessment Report

|  |  |
| --- | --- |
| **UoA X** | **Description**  |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group (optional) |  |
| Other eligible fishers |  |

|  |  |
| --- | --- |
| **UoA X** | **Description** |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group (optional) |  |
| Other eligible fishers |  |

* 1. Summary of Performance Indicator level scores

Table 1.3 – Summary of Performance Indicator level scores

|  |  |
| --- | --- |
| **Principle of the MSC Fisheries Standard** | **Number of PIs with draft scoring ranges** |
| **<60** | **60-79** | **≥80** |
| Principle 1 – Stock status |  |  |  |
| Principle 2 – Minimising environmental impacts |  |  |  |
| Principle 3 – Effective management |  |  |  |

1. Pre-Assessment / Full Assessment Report check and Pre-Assessment verification
	1. Pre-Assessment / Full Assessment Report checklist

Table 2.1 – Pre-Assessment / Full Assessment Report checklist

|  |  |
| --- | --- |
| What is the document that is being used? | *Pre-Assessment/ACDR/CPRDR/ PCDR/ PCR and Surveillance report* |
| Version of the Fisheries Standard used?  | *Fisheries Standard v2.01 / v3.0* |
| Date of Pre-Assessment / Full Assessment (must be less than 36 months old) | *dd/mm/yyyy* |
| Pre-assessment conducted by an accredited CAB? | *Yes / No* |
| Name of CAB or other entity that conducted the Pre-Assessment/Full Assessment Report |  |
| Version of Pre-Assessment / Full Assessment reporting template used? | *MSC Pre-Assessment Reporting Template vx.x /MSC Reporting Template vx.x* |
| Submitted in English? | *Yes / No* |
| Used full scoring tables for Performance Indicators down to Scoring Issue level? | *Yes / No* |
| Traceability considered? | *Yes / No* |
| Fishery in Scope of the MSC Fisheries Standard as per the relevant version of the MSC Fisheries Standard and Fisheries Certification Process. (See Section 1.2 of ITM Program Requirements v2.0) | *Yes / No*Scope Declaration Submitted: *Yes/No*MSC At Sea Labour Declaration Submitted*: Yes/No* |
| Unit(s) of Assessment (UoAs) correctly defined as per the relevant version of the MSC Fisheries Standard and Fisheries Certification Process?  | *Yes / No* |
| References to information and sources used to support scoring are included in the Pre-Assessment/Full Assessment Report?  | *Yes / No* |
| Other documents referenced(*For example: Notice of Suspension, Corrective Action Plan as per ITM requirements 1.2.1b.ii)* |  |
| Reason for suspension/withdrawal (if relevant).  |  |

* 1. Pre-assessment verification (only applicable for Pre-Assessments not conducted by the CAB)

*Pre-Assessments not conducted by the CAB completing this report need to be verified against the ITM requirements. This includes where the Pre-Assessment was completed by either another CAB or a non-CAB entity.*

*Table 2.2 should be used to capture the CAB’s findings in relation to the Pre-Assessment report and Performance Indicator (PI) draft scoring ranges awarded.*

*For fisheries that have MSC Full Assessments Reports, entering ITM with an ACDR or other Full Assessment report, this section is not required.*

*This template has two versions of Table 2.2 below: one with PIs for Fisheries Standard v3.0; and one with PIs for Fisheries Standard v2.01. Please use the appropriate table and delete the other one. For Fisheries Standard v3.0, where RBF has been used to score relevant PIs, ensure you retain/delete, as applicable, the appropriate PI name e.g. 1.2.3R.*

Table 2.2 - Performance Indicator level draft scoring range evaluation (Fisheries Standard v3.0) *– delete if not applicable*

| **Performance Indicator** | **Pre-assessment draft scoring range** | **Rationale follows relevant MSC requirements and guidance?**  | **Information presented in rationale supports score given to this PI?** | **Agree/Disagree with score awarded?** | **Reviewer Comments*****[only required when “No” is selected as one of the answers]***  |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | <60 / 60-79 / ≥80 | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 / 1.2.3R *(delete as appropriate)* – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – In-scope species outcome  | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – In-scope species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 / 2.1.3R *(delete as appropriate)* – In-scope species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – ETP/OOS species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – ETP/OOS species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 / 2.2.3R *(delete as appropriate)* – ETP/OOS species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – Habitats Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – Habitats management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 / 2.3.3R *(delete as appropriate)* – Habitats Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Ecosystem outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Ecosystem management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Ecosystem Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and/or customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 –Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

Table 2.2 – Performance Indicator level draft scoring range evaluation (Fisheries Standard v2.01) *– delete if not applicable*

| **Performance Indicator** | **Pre-assessment draft scoring range** | **Rationale follows relevant MSC requirements and guidance?**  | **Information presented in rationale supports score given to this PI?** | **Agree/Disagree with score awarded?** | **Reviewer Comments*****[only required when “No” is selected as one of the answers]***  |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | <60 / 60-79 / ≥80 | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – Primary Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – Primary Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 – Primary Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – Secondary Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – Secondary Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 – Secondary Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – ETP Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – ETP Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 – ETP Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Habitats Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Habitats Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Habitats Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.1 – Ecosystems Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.2 – Ecosystems Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.3 – Ecosystems Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 – Management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

1. Improvement Action Plan checks and verification
	1. Improvement Action Plan checklist

***Improvement Action Plan template and Benchmarking and Tracking tool***

*Fisheries are expected to use the latest MSC fishery Improvement Action Plan reporting template and it should be accompanied by the MSC Benchmarking and Tracking tool (BMT).*

***Start date of Improvement Action Plan***

*MSC accepts that some fisheries may have formed Fisheries Improvement Projects (FIPs) and started implementing improvement actions before entering the ITM Program. It would be acceptable to include these actions within the submitted Improvement Action Plan if they do not precede the ITM entry date by more than 12 months and as long as it is clearly indicated when the ITM period starts, and which actions have already been completed.*

Table 3.1 - Improvement Action Plan checklist

|  |  |
| --- | --- |
| Organisation or individual that developed the Improvement Action Plan |  |
| Was the relevant MSC fishery Improvement Action Plan template used? | *Yes / No* |
| ITM Project Manager identified? | *Yes / No* |
| Start date of Improvement Action Plan | *mm/yyyy* |
| End date of Improvement Action Plan | *mm/yyyy* |
| Proposed month and year of announcement of entering Full Assessment (or in the case of a suspended MSC certificate, start the reinstatement process) | *mm/yyyy* |
| Improvement actions (relating to PI scores) are realistic and achievable within timeframe? | *Yes / No**[If No request that the Applicant modifies their original Action Plan so that this can be changed to Yes]* |
| Metrics for measuring progress are appropriate? | *Yes / No* |
| Improvement Actions (relating to PI scores) correspond to areas of improvement identified in the Pre-Assessment Report or Full Assessment Report/Notice of Suspension/Corrective Action plan? | *Yes / No* |
| Benchmarking and Tracking Tool (BMT) supplied? | *Yes / No* |
| BMT Index at time of application? | *Enter BMT Index* |
| Proposed progress verification timeline is appropriate for assessing the completion of key activities? | *Yes / No* |

* 1. Improvement action plan verification

*Please delete PIs that don’t have any improvement actions associated with them.*

*This template has two versions of Table 3.2 below: one with PIs for Fisheries Standard v3.0 and one with PIs for Fisheries Standard v2.01. Please use the appropriate table and delete the other one.*

Table 3.2 Improvement Action Plan evaluations (Fisheries Standard v3.0) – *delete as applicable*

| **Performance Indicator** | **Pre-Assessment draft scoring range** | **Improvement actions are realistic and achievable within timeframe?** | **Metrics for measuring progress are appropriate?** | **Reviewer Comments*****[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 *(1.2.3R if RBF used)* – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – In-scope species outcome  | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – In-scope species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 (*2.1.3R if RBF used)* – In-scope species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – ETP/OOS species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – ETP/OOS species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 (*2.2.3R if RBF used*) – ETP/OOS species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – Habitats Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – Habitats management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 (*2.3.3R if RBF used)* – Habitats Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Ecosystem outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Ecosystem management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Ecosystem Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and/or customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 –Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

Table 3.2 Improvement action plan evaluations (Fisheries Standard v2.01) – *delete as applicable*

| **Performance Indicator** | **Pre-Assessment draft scoring range** | **Improvement actions are realistic and achievable within timeframe?** | **Metrics for measuring progress are appropriate?** | **Reviewer Comments*****[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – Primary Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – Primary Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 – Primary Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – Secondary Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – Secondary Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 – Secondary Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – ETP Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – ETP Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 – ETP Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Habitats Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Habitats Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Habitats Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.1 – Ecosystems Outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.2 – Ecosystems Management | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.3 – Ecosystems Information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 – Management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

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*Please delete the table below.*

Table 4. Template version control

|  |  |  |
| --- | --- | --- |
| **Version** | **Date of publication** | **Description of amendment** |
| 1.0 (Pilot) | 30 September 2019 | N/A – new document as part of ITM Program Requirements and Guidance – Pilot v1.0 |
| 1.1 (Pilot) | 09 December 2020 | Eligibility template separated from progress template and modified to allow recording of more detailed CAB findings. Guidance added on improvement action plan template to be used and start date of action plan. |
| 2.0 (Pilot) | 01 May 2023 | Updated to align with MSC ITM Program Requirements and Guidance – Pilot v2.0 and Fisheries Certification Process v2.3/3.0. Performance Indicators for MSC Fisheries Standard v3.0 added.  |

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