

*[Insert CAB Logo]*

MSC Improvement Program

Marine Stewardship Council

MSC Improvement Program Progress Verification Report

[*Fishery name*]

|  |  |
| --- | --- |
| Conformity Assessment Body (CAB) |  |
| MSC Improvement Program Fishery |  |
| MSC Improvement Program start date | *DD/MM/YYYY* |
| MSC Fisheries Standard Version applied | *e.g. v2.01 / v3.1* |
| Improvement Program Project Manager |  |
| Assessment type | 1st/2nd/3rd/4th MSC Improvement Program Progress Verification *– delete as applicable* |
| Report Date  | *DD/MM/YYYY* |

*Introduction and Instructions*

*This template details the information required from Conformity Assessment Bodies (CABs) when verifying the progress of a fishery participating in the Marine Stewardship Council (MSC) Improvement Program. The CAB shall complete this template at each progress verification based on information from the Improvement Program Project Manager, as outlined in the* [MSC Improvement Program Requirements and Guidance v3.0](https://www.msc.org/for-business/certification-bodies/fisheries-standard-program-documents). *Where relevant, tables and information can be copied over from the MSC Improvement Program Progress Report.*

*The template contains three main reporting sections:*

***Section 1*** *provides an overview of all verification activities, findings, and decisions for the duration of the fishery’s participation in the MSC Improvement Program.*

***Section 2*** *is for the CAB to record overall progress and progress and verification at PI level.*

***Section 3*** *is for the CAB to record progress of traceability improvement actions, where relevant.*

*The CAB shall update the same template at each progress verification.*

*Please rename the document so that the file name includes the fishery name and any other relevant information e.g. Fishery Name\_MSC Improvement Program Progress Verification Report\_Date\_Version Number.*

*Please complete all unshaded fields where information is available. For all instructions, notes and guidance indicated in italics, please delete and replace with your specific information where relevant. e.g. this ‘Introduction and instructions’ section.*

*This report will be made publicly available on the MSC website and therefore should contain no confidential information.*

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Glossary

*View the* [*MSC-MSCI Vocabulary*](https://www.msc.org/docs/default-source/default-document-library/for-business/program-documents/chain-of-custody-supporting-documents/msc-msci-vocabulary-v1-3.pdf)*. Insert an optional glossary or list of acronyms used. Note that any terms defined here shall not contradict terms used in the MSC-MSCI Vocabulary.*

1. Report overview

*The CAB shall ensure that Table 1 corresponds to the Unit(s) of Assessment (UoAs) identified in the Pre-Assessment / MSC Full Assessment Report and includes any revisions requested by the Improvement Program Project Manager in the MSC Improvement Program Progress Report. If changes to the UoA(s) has been requested, the CAB shall re-confirm eligibility for the MSC Improvement Program.*

*In**Table 2**the CAB shall record the decisions regarding progress determination and/or withdrawal of Improvement Program UoAs.*

* 1. Unit(s) of Assessment (UoAs)

Table 1. Unit(s) of Assessment (UoA) from Pre-Assessment Report or Full Assessment Report

|  |  |
| --- | --- |
| **UoA X** | **Description**  |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group (optional) |  |
| **UoA X** | **Description** |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group (optional) |  |

* 1. Progress verification summary

Table 2 Entry and Progress Verification summary

|  |  |  |  |
| --- | --- | --- | --- |
| **Event** | **Date***(actual/planned date)* | **Onsite/ Offsite** | **Name/s of CAB and assessor/s** |
| Pre-Assessment Report/ Full Assessment Report | *DD/MM/YYYY* |  |  |
| Eligibility verification | *DD/MM/YYYY* | *Onsite/offsite* |  |
| 1st Progress Verification | *DD/MM/YYYY* | *Onsite/offsite* |  |
| 2nd Progress Verification | *DD/MM/YYYY* | *Onsite/offsite* |  |
| 3rd Progress Verification | *DD/MM/YYYY* | *Onsite/offsite* |  |
| 4th Progress Verification | *DD/MM/YYYY* | *Onsite/offsite* |  |

* 1. Record of progress verification decisions

*Where multiple UoAs are considered, please clearly specify the determination and how it relates to each UoA as demonstrated in the first entry of Table 3. If all UoAs receive the same determination please clearly state this. If the determination includes the withdrawal of any or all UoAs, the CAB shall complete the MSC Improvement Program Notice of Withdrawal template*

Table 3. Progress verification determination

|  |  |
| --- | --- |
| **Verification/decision point** | **Determination by CAB** |
| 1st Progress Verification |

|  |  |
| --- | --- |
| **UoA number** | **Progress Determination**  |
| *Example: UoA 1* | *Adequate / Inadequate* |
|  |  |

*Adequate / Inadequate* |
| 2nd Progress Verification | *Adequate / Inadequate* |
| 3rd Progress Verification | *Adequate / Inadequate* |
| 4th Progress Verification | *Adequate / Inadequate* |
| Fishery withdrawn from MSC Improvement Program? | *Yes / No* |
| Date of withdrawal | *DD/MM/YYYY* |

1. Progress Verification
	1. Overall progress status

*These tables should reflect all UoAs. Where results or details differ between UoAs please specify. Columns may be added for additional progress verifications.*

Table 4. Progress verification status summary

|  | **1st progress verification** | **2nd progress verification** | **3rd progress verification** | **4th progress verification** |
| --- | --- | --- | --- | --- |
| **Type of progress verification** | *Onsite / Offsite* | *Onsite / Offsite* | *Onsite / Offsite* | *Onsite / Offsite* |
| **Justification for type of verification**  |  |  |  |  |
| **Improvement Program Progress Report received from Project Manager and verified?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **Were any stakeholders consulted during progress verification?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **Does the fishery continue to meet the scope requirements of the MSC Fisheries Standard as per the relevant version of the MSC Fisheries Standard and Fisheries Certification Process. (See Section 2.1 of MSC Improvement Program Requirements and Guidance v3.0)?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **Does/do the Unit(s) of Assessment (UoAs) meet(s) the MSC requirements outlined in the relevant version of the MSC Fisheries Certification Process?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **Number of PIs completed in total (ie. PIs where all improvement actions and tasks have been implemented and final target draft scoring range has been achieved).** |  |  |  |  |
| **Do exceptional circumstances apply?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **If exceptional circumstances apply, specify to which PIs they are applicable?** |  |  |  |  |
| **Updated Improvement Action Plan received and verified? *(If IAP not updated state N/A)*** | *Yes / No/ N/A* | *Yes / No/ N/A* | *Yes / No/ N/A* | *Yes / No / N/A* |
| **Improvement Action Plan to be completed within 5 years from the Improvement Program start date?** | *Yes / No* | *Yes / No* | *Yes / No* | *Yes / No* |
| **Updated BMT received and verified? *(confirm for all UoAs. If BMT not updated state N/A)***  | *Yes / No/ N/A* | *Yes / No/ N/A* | *Yes / No/ N/A* | *Yes / No / N/A* |
| **Next scheduled Progress Verification** | *DD/MM/YYYY* | *DD/MM/YYYY* | *DD/MM/YYYY* | *DD/MM/YYYY* |

*Repeat for each UoA if relevant. Columns may be added for additional progress verifications.*

Table 5. Overall progress determination summary

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **1st progress verification** | **2nd progress verification** | **3rd progress verification** | **4th progress verification** |
| **Number of PIs with an improved draft scoring range due (including PIs that were behind target in a previous verification)** |  |  |  |  |
| **PIs due a draft score change (including PIs that were behind target in a previous verification)** | *e.g. PI 1.2.3, PI 2.2.2, PI 3.2.2* |  |  |  |
| **Number of PIs due a draft score change that achieved score change (i.e. on target)**  |  |  |  |  |
| **Number of PIs due a draft score change that did not achieve score change (i.e. behind target)** |  |  |  |  |
| **Actual BMT index** |  |  |  |  |
| **Expected BMT index** |  |  |  |  |
| **Overall progress determination** | *Adequate / Inadequate* | *Adequate / Inadequate* | *Adequate / Inadequate* | *Adequate / Inadequate* |

* 1. Verification of key updates or changes in the fishery

*In this section, verify information about key updates or changes in the fishery. This should reflect all UoAs. Where details vary between UoAs please specify. Copy over the table from the Improvement Program Project Manager Progress report and record your verification here.*

*The Improvement Program Project Manager will provide any notable changes to the fishery since the Pre-Assessment, Full Assessment Report and / or the most recent Progress Verification that could result in a change to a draft scoring range at Principle or Performance Indicator level, including changes to:*

* *Unit(s) of Assessment (UoA).*
* *Fishery fleet or vessels*
* *Management systems*
* *Relevant regulations*
* *Personnel involved in science, management, or industry*
* *Scientific base of information, including stock assessments*
* *Any updates on the fishery’s position in relation to scope criteria*
* *Other circumstances that might have hindered implementation of improvement actions*

*If no updates or changes occurred this should be stated.*

*If changes to the UoA(s) are needed, the Improvement Program Project Manager shall request these changes to the CAB by including information about the changes in the MSC Improvement Program Progress Report and providing justification for any changes.*

Table 6. Key updates or changes in the fishery

|  |  |  |  |
| --- | --- | --- | --- |
| **UoA(s)** | **Key updates or changes to the UoA, fleet or vessels** | **References** | **Is evidence verifiable?** |
|  |  |  | *Yes / No* *(please also insert short justification)* |
| **Principle** | **Key updates or changes** | **References** | **Is evidence verifiable?** |
| **Principle 1**  |  |
| Pre- Assessment/Full Assessment Report |  |  |  |
| 1st Progress Verification |  |  |  |
| 2nd Progress Verification |  |  |  |
| 3rd Progress Verification |  |  |  |
| 4th Progress Verification |  |  |  |
| **Principle 2** |  |
| Pre- Assessment/Full Assessment Report |  |  |  |
| 1st Progress Verification |  |  |  |
| 2nd Progress Verification |  |  |  |
| 3rd Progress Verification |  |  |  |
| 4th Progress Verification |  |  |  |
| **Principle 3** |  |
| Pre- Assessment/Full Assessment Report |  |  |  |
| 1st Progress Verification |  |  |  |
| 2nd Progress Verification |  |  |  |
| 3rd Progress Verification |  |  |  |
| 4th Progress Verification |  |  |  |

* 1. Verification of progress of improvement actions for PIs due a draft score change at the current progress verification

***This section should include only information on improvement actions for PIs that are due a change in draft scoring range at the current progress verification.***

***Copy over the table of improvement actions and tasks to be verified and evidence of implementation from the Improvement Program Project Manager Progress Report and complete the last column.***

*With regards to exceptional circumstances, if the CAB has already approved an application for exceptional circumstances for any of the PIs that are due a draft score change at the current progress verification, please select the ‘exceptional circumstances’ progress status for the appropriate actions and tasks. If the CAB has not already approved ‘exceptional circumstances’, and the project manager has applied for ‘exceptional circumstances’ status using the progress report, please provide the determination and revised deadline for the actions and tasks in the tables below. Please provide a rationale for this determination, provided that it does not contain sensitive information.*

Table 7. Verification of progress of actions for PIs due a draft score change at the current progress verification

|  |  |  |  |
| --- | --- | --- | --- |
| **Actions and tasks to be verified** | **Progress status** | **Supporting evidence**  | **Is progress status verified?** |
| *E.g. A1-1 (name and UoA)* | *Implemented / On target / Behind target / Exceptional circumstances* |  | *Yes / No* *(please also include a short justification)* |
| *A1-2 (name and UoA)* |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

* 1. Status at Performance Indicator level for PIs due a draft score change at the current progress verification

*These tables should reflect all UoAs. Where details differ between UoAs please specify clearly how progress relates to each UoA.*

*Only include PIs that are included in the Improvement Action Plan and due a change in draft scoring range at this progress verification. Delete rows that are not applicable.*

*Input progress status as either: On target / Behind target / Exceptional Circumstances; based on the most recent version of the Improvement Action Plan. Any chosen status should be supported by a justification for the decision.*

*If evidence to support draft score changes cannot be verified, the CAB shall assume that there has been no score change. State in the justification that you are unable to assess status because evidence cannot be verified.*

*This template has two sets tables below: one for MSC Fisheries Standard v3.1 PIs and one for MSC Fisheries Standard v2.01 PIs. Please use the appropriate tables for the version of the Fisheries Standard in use, and delete the ones that are not applicable.*

*For Fisheries Standard v3.1, where RBF has been used to score relevant PIs, ensure you retain/delete as applicable the appropriate PI name e.g. use 1.2.3R if RBF has been used to score PI 1.2.3.*

*With regards to exceptional circumstances, if the CAB has already approved an application for exceptional circumstances for any of the PIs that are due a draft score change at the current progress verification, please select the ‘exceptional circumstances’ progress status for the appropriate actions and tasks. If the CAB has not already approved ‘exceptional circumstances’, and the project manager has applied for ‘exceptional circumstances’ status using the progress report, please provide the determination and revised deadline for the actions and tasks in the tables below. Please provide a rationale for this determination, provided that it does not contain sensitive information.*

* + 1. Principle 1 Performance Indicator level score changes and justifications – Fisheries Standard v2.01

Table 8. Progress status of Principle 1 PIs – Fisheries Standard v2.01

|  |
| --- |
| **Principle 1 – Performance Indicator level score changes and justifications** |
| **1.1.1 – Stock status** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.1.2 – Stock rebuilding** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.1 – Harvest Strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.2 – Harvest control rules and tools** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.3 – Information and monitoring** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.4 – Assessment of stock status** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* + 1. Principle 2 Performance Indicator level score changes and justifications – Fisheries Standard v2.01

Table 9. Progress status of Principle 2 PIs – Fisheries Standard v2.01

|  |
| --- |
| **Principle 2 – Performance Indicator level score changes and justifications** |
| **2.1.1 Primary species outcome**  | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.1.2 – Primary species management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.1.3 – Primary species information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.1 – Secondary species outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.2 – Secondary species management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.3 – Secondary information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.1 – ETP species outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.2 – ETP species management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.3 – ETP species information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.1 – Habitats outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.2 – Habitats management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.3 – Habitats information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.5.1 – Ecosystem outcome**  | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.5.2 – Ecosystem management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.5.3 – Ecosystem information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* + 1. Principle 3 Performance Indicator level score changes and justifications – Fisheries Standard v2.01

Table 10. Progress status of Principle 3 PIs – Fisheries Standard v2.01

|  |
| --- |
| **Principle 3 – Performance Indicator level score changes and justifications** |
| **3.1.1 – Legal and customary framework** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.1.2 – Consultation, roles and responsibilities** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.1.3 – Long term objectives** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.1 – Fishery specific objectives** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.2 – Decision making processes** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.3 – Compliance and enforcement** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.4 – Management performance evaluation** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* + 1. Principle 1 Performance Indicator level score changes and justifications – Fisheries Standard v3.1

Table 11. Progress status of Principle 1 PIs - Fisheries Standard v3.1

|  |
| --- |
| **Principle 1 – Performance Indicator level score changes and justifications**  |
| **1.1.1 – Stock status** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.1.2 – Stock rebuilding** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.1 – Harvest Strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.2 – Harvest control rules and tools** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.3 / 1.2.3R (*delete as applicable*) – Information and monitoring** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **1.2.4 – Assessment of stock status** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* + 1. Principle 2 Performance Indicator level score changes and justifications – Fisheries Standard v3.1

Table 12. Progress status of Principle 2 PIs – Fisheries Standard v3.1

|  |
| --- |
| **Principle 2 – Performance Indicator level score changes and justifications** |
| **2.1.1 – In-scope species outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.1.2 – In-scope species management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.1.3 / 2.1.3R (*delete as applicable*) – In-scope species information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.1 – ETP/OOS species outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.2 – ETP/OOS species management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.2.3 / 2.2.3R (*delete as applicable*) – ETP/OOS species information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.3.1 – Habitats outcome** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.3.2 – Habitats management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.3.3 / 2.3.3R (*delete as applicable*) – Habitats information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.1 – Ecosystem outcome**  | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.2 – Ecosystem management strategy** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **2.4.3 – Ecosystem information** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* + 1. Principle 3 Performance Indicator level score changes and justifications – Fisheries Standard v3.1

Table 13. Progress status of Principle 3 PIs – Fisheries Standard v3.1

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| **Principle 3 – Performance Indicator level score changes and justifications** |
| **3.1.1 – Legal and customary framework** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.1.2 – Consultation, roles and responsibilities** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.1.3 – Long term objectives** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.1 – Fishery specific objectives** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.2 – Decision making processes** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.3 – Compliance and enforcement** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |
| **3.2.4 – Management performance evaluation** | **Progress status** | **Justification** |
| **1st Progress Verification** | *Completed / On target / Behind target / Exceptional circumstances* |  |
| **2nd Progress Verification** |  |  |
| **3rd Progress Verification** |  |  |
| **4th Progress Verification** |  |  |

* 1. Verification of progress of improvement actions for PIs that are not due a draft score change at the current progress verification

***This section should only include information on improvement actions for PIs that are not due a change in draft scoring range at the current progress verification.***

***Copy over the table of improvement actions and tasks to be verified and evidence of implementation from the Improvement Program Progress Report and complete the last column. This should reflect all UoAs. Where details differ across UoAs please specify.***

*With regards to exceptional circumstances, if the CAB has already approved an application for exceptional circumstances for any of the PIs not due a score change at the current progress verification, please select the ‘exceptional circumstances’ progress status for the appropriate actions and tasks. If the CAB has not already approved ‘exceptional circumstances’, and the project manager has applied for ‘‘exceptional circumstances’ status using the progress report, please provide the determination and revised deadline for the actions and tasks in the tables below. Please provide a rationale for this determination, provided that it does not contain sensitive information.*

Table 14. Verification of Progress of Actions for Performance Indicators that are not due a draft scoring range change at this progress verification

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Actions and tasks verified** | **PI number** | **Current draft scoring range** | **Progress status** | **Description of progress** | **Deadline** | **Evidence of implementation** | **Is evidence verifiable?**  |
| **1st Progress Verification** |
| *E.g. A1-1 (name and UoA)* | *E.g.1.1.1* | <60 / 60 – 79 / ≥80 | *(Implemented / On target / Behind target / Exceptional circumstances)* |  |  |  | *Yes / No* *(please also insert short justification)* |
| *E.g. A1-2 (name and UoA)* |  |  |  |  |  |  |  |
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| **2nd Progress Verification** |
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| **3rd Progress Verification** |
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| **4th Progress Verification** |
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1. References

*The CAB should list all references here, including hyperlinks to publicly available documents.*

*The CAB should include here the key documents provided by the Project Manager to report progress, including the MSC Improvement Program Progress Report, Improvement Action Plan and Benchmarking and Tracking tool.*

1. Template information and copyright

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*Delete the table below.*

Table 15. Template Version Control

|  |  |  |
| --- | --- | --- |
| Version | Date of publication | Description of amendment |
| 1.0 (Pilot) | 30 September 2019 | N/A – new document as part of ITM Program Requirements and Guidance – Pilot v1.0 |
| 1.1 (Pilot) | 14 December 2020 | Separated Progress Reporting Template from combined Eligibility and Progress Reporting Template and made standalone document.Added self-reporting section with guidance for ITM project manager. Changes to formatting of section for CAB findings. |
| 2.0 (Pilot) | 01 May 2023 | Separated the previous ITM Progress Verification Reporting template into two separate templates.ITM Progress Reporting Template (this template): For ITM project managers to report progressITM Progress Verification Reporting template: For CABs to verify and report progress. Updated to align with MSC ITM Program Requirements and Guidance – Pilot v2.0 and Fisheries Certification Process v2.3/3.0. Performance indicators for MSC Fisheries Standard v3.0 added |
| 3.0  | 29 October 2024 | Updated to reflect the MSC Improvement Program Requirements and Guidance v3.0.  |

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