

*[Insert CAB Logo]*

MSC Improvement Program

Marine Stewardship Council

MSC Improvement Program Eligibility Report

[*Fishery name*]

|  |  |
| --- | --- |
| Conformity Assessment Body (CAB) |  |
| Improvement Program Fishery |  |
| Improvement Program Project Manager |  |
| Date |  |

*Instructions to CABs*

*This template details the information required from Conformity Assessment Bodies (CABs) when verifying the eligibility of an* “*MSC Improvement Program Applicant Fishery”.*

*Please rename the document so that the file name includes the fishery name and any other relevant information e.g. Fishery Name\_MSC Improvement Program Eligibility Report\_Date\_Version Number.*

*Please complete all unshaded fields. For all instructions, notes and guidance indicated in italics, please delete and replace with your specific information where relevant, e.g. the ‘Instructions to CABs and assessment teams’ section.*

*The CAB should complete this report based on information provided by the Improvement Program Project Manager as outlined in the* [MSC Improvement Program Requirements and Guidance v3.0](https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=ITM)*.*

*Section 2 applies to checks and verification of the Pre-Assessment or “MSC Full Assessment Report”. If MSC Improvement Program eligibility verification is being conducted by the same CAB that conducted the Pre-Assessment or “Full Assessment Report”, the CAB should not complete Sections 2.2 and 2.3.*

*Section 3 applies to the Improvement Action Plan and Benchmarking and Tracking Tool.*

*If an “Improvement Program (Applicant) Fishery” seeks Improvement Product eligibility, the CAB shall complete a separate ‘*[MSC Improvement Product Eligibility Verification Reporting Template*’*](https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=ITM)*.*

*This report will be made publicly available on the MSC website and therefore the CAB should not include confidential information (*[MSC Improvement Program Requirements and Guidance v3.0](https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=ITM)*, Section 5).*

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1. MSC Improvement Program Eligibility Report

*Note: The term ‘accepted’ means that the report / information has been reviewed and verified by the CAB.*

* 1. Overview

Table 1. Summary of MSC Improvement Program Eligibility

|  |  |  |  |
| --- | --- | --- | --- |
| Fisheries Standard Version used for MSC Improvement Program Entry? | *Fisheries Standard v2.01 / v3.1* | | |
| Fisheries Standard Version to be used for MSC Full Assessment? | *Fisheries Standard v2.01 / v3.1* | | |
| Pre-assessment / Full Assessment Report(s) accepted? | *Yes / No* | | |
| Improvement Action Plan accepted? | *Yes / No* | | |
| BMT accepted?\* | *Yes / No* | | |
| Fishery judged able to enter full assessment (or start the process of reinstating its certificate) after completion of the Improvement Program? | *Yes / No* | | |
| Improvement Program Eligibility status determination | *Eligible / Ineligible* | | |
| Rationale for eligibility status determination |  | | |
| Date of Improvement Program eligibility determination | *DD/MM/YYYY* | | |
| Improvement Program Completion date | *DD/MM/YYYY* | | |
| Planned date to enter Full assessment | *DD/MM/YYYY* | | |
| Progress Verification Schedule\* | Verification number | Proposed Date *(as per IAP)* | Onsite / Offsite |
| *e.g. 1st progress verification* | *DD/MM/YYYY* | *Onsite/offsite* |
|  |  |  |
|  |  |  |
|  |  |  |

\*Any updates to the progress verification schedule will be announced on the MSC Track a Fishery website.

\*A summary of projected draft scores for the duration of the IAP can be found in the BMT on the MSC Track a Fishery website.

* 1. Verification of entry requirements

Table 2. Verification of entry requirements

|  |  |
| --- | --- |
| Fishery meets scope requirements of the MSC Fisheries Standard as per the relevant version of the MSC Fisheries Standard and Fisheries Certification Process. (See Section 2.1 of Improvement Program Requirements v3.0) | *Yes / No*  MSC Scope Declaration submitted: *Yes/No*  MSC At Sea Labour Eligibility Requirements Report submitted*: Yes/No* |
| Date of Pre-Assessment / “Full Assessment Report” (must be less than 3 years old) | *DD/MM/YYYY* |
| Pre-Assessment / “Full Assessment Report” less than 3 years old? | *Yes / No* |
| Pre-Assessment / “Full Assessment Report” submitted in English? | *Yes / No* |
| Fishery has explicit aim to either 1) enter MSC full assessment or 2) start the process to reinstate a suspended certificate? | *Yes / No*  *Please state which.* |
| Fishery client has stated a date to enter full assessment/reinstate certificate which is within 3 months of “MSC Improvement Program Completion Date”? | *Yes / No*  *Please state date.* |
| UoA(s) likely to achieve an MSC pass score with successful implementation of the IAP by the specified “Completion Date”? | *Yes / No* |
| UoA(s) does not already meet an MSC draft pass score? | *Yes / No* |

* 1. Unit(s) of Assessment (UoA)

*Add/delete rows depending on the number of Units of Assessment (UoAs).*

*For vessel or fleet description, please include details about the number of vessels and vessel size of the UoA.*

Table 3. Unit(s) of Assessment (UoA) from Pre-Assessment or Full Assessment Report

|  |  |
| --- | --- |
| **UoA X** | **Description** |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group |  |

|  |  |
| --- | --- |
| **UoA Y** | **Description** |
| Target Stock |  |
| Geographical area |  |
| Fishing gear type(s) and, if relevant, vessel type(s) |  |
| Client group |  |

* 1. Summary of Performance Indicator level scores

*For fisheries with multiple UoAs, please indicate clearly how scoring applies to each UoA.*

Table 4. Summary of Performance Indicator level scores

|  |  |  |  |
| --- | --- | --- | --- |
| **Principle of the MSC Fisheries Standard** | **Number of PIs with draft scoring ranges** | | |
| **<60** | **60-79** | **≥80** |
| Principle 1 – Stock status |  |  |  |
| Principle 2 – Minimising environmental impacts |  |  |  |
| Principle 3 – Effective management |  |  |  |

1. Pre-Assessment / Full Assessment Report verification
   1. Pre-Assessment / Full Assessment Report checklist

Table 5. Pre-Assessment / Full Assessment Report checklist

|  |  |
| --- | --- |
| What type of assessment report did the “Applicant Fishery” submit”? | *Pre-Assessment/ACDR/CPRDR/ PCDR/ PCR/Surveillance Report/Expedited Audit Report* |
| Fisheries Standard Version used in Pre-Assessment Report or “Full Assessment Report”? | *Fisheries Standard v2.01 / v3.1* |
| Pre-assessment conducted by an accredited CAB? | *Yes / No* |
| Name of CAB or other entity that conducted the Pre-Assessment / ”Full Assessment Report” |  |
| If “Full Assessment Report” submitted, reasons for requested transfer of CAB reviewed as per GCR v2.6, 4.11.3.e? | *Yes / No* |
| If “Full Assessment Report”, reports verified in line with GCR v2.6, 4.11.4 as relevant. | *Yes / No* |

* 1. Pre-Assessment Report verification

*Only complete this section if the CAB did not complete the Pre-Assessment Report. If the CAB conducting the MSC Improvement Program eligibility verification did not complete the Pre-Assessment Report the CAB shall complete this section.*

Table 6. Pre-Assessment Report verification

|  |  |
| --- | --- |
| Version of MSC Pre-Assessment / Full Assessment reporting template used? | *MSC Pre-Assessment Reporting Template vx.x /MSC Reporting Template vx.x* |
| Full scoring tables used for Performance Indicators? | *Yes / No* |
| Scoring at the Scoring Issue (SI) level? | *Yes / No* |
| Informs “Applicant Fishery” of likelihood of achieving MSC certification? | *Yes / No* |
| Identifies performance gaps against the requirements of the MSC Fisheries Standard? | *Yes / No* |
| Includes a review of data availability? | *Yes / No* |
| Includes a description of potential obstacles/problems that may be a barrier to MSC certification? | *Yes / No* |
| UoA(s) correctly defined as per the relevant version of the Fisheries Certification Process? | *Yes / No* |
| “Draft scoring ranges” in the Pre-Assessment Report are justified for each SI? | *Yes / No* |
| References to information and sources used to support scoring are included in the Pre-Assessment/”Full Assessment Report”? | *Yes / No* |
| Other documents referenced?  (*For example: Notice of Suspension, Corrective Action Plan as per Improvement Program Requirements and Guidance v3.0. 1.1.1.c.iv)* |  |
| Reason for MSC certificate suspension/withdrawal (if relevant)? |  |

* 1. Pre-assessment scoring verification (*delete if not applicable*)

*Only complete this section if the CAB did not complete the Pre-Assessment Report. If the CAB conducting the MSC Improvement Program eligibility verification did not complete the Pre-Assessment Report. the CAB shall complete this section.*

*Please use the relevant table to capture the CAB’s findings in relation to the Pre-Assessment Report and Performance Indicator (PI) draft scoring ranges awarded.*

*For “Applicant Fisheries” that submit MSC “Full Assessments Reports”, the CAB should not complete this section.*

*This template has two tables below: one aligns with MSC Fisheries Standard v3.1; and one aligns with MSC Fisheries Standard v2.01. Please use the appropriate table and delete the other one. For MSC Fisheries Standard v3.1, if the RBF has been used to score relevant PIs, ensure you retain/delete, as applicable, the appropriate PI name e.g. 1.2.3R. Where there are multiple UoAs, please make it clear how the verification applies to each UoA.*

Table 7. Performance Indicator level draft scoring range verification (Fisheries Standard v3.1) – delete if not applicable

| **Performance Indicator** | **Pre-assessment draft scoring range** | **Rationale follows relevant MSC Fisheries Standard clauses and guidance?** | **Information presented in rationale justifies the score for each scoring issue?** | **Agree/Disagree with score awarded?** | **Reviewer Comments**  ***[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | <60 / 60-79 / ≥80 | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 / 1.2.3R *(delete as appropriate)* – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – In-scope species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – In-scope species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 / 2.1.3R *(delete as appropriate)* – In-scope species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – ETP/OOS species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – ETP/OOS species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 / 2.2.3R *(delete as appropriate)* – ETP/OOS species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – Habitats outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – Habitats management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 / 2.3.3R *(delete as appropriate)* – Habitats information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Ecosystem outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Ecosystem management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Ecosystem information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and/or customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 –Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

Table 8. Performance Indicator level draft scoring range verification (Fisheries Standard v2.01) – delete if not applicable

| **Performance Indicator** | **Pre-assessment draft scoring range** | **Rationale follows relevant MSC Fisheries Standard clauses and guidance?** | **Information presented in rationale justifies the score for each scoring issue?** | **Agree/Disagree with score awarded?** | **Reviewer Comments**  ***[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | <60 / 60-79 / ≥80 | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 – Information and monitoring | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – Primary species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – Primary species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 – Primary species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – Secondary species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – Secondary species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 – Secondary species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – ETP species outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – ETP species management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 – ETP species information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Habitats outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Habitats management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Habitats information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.1 – Ecosystems outcome | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.2 – Ecosystems management strategy | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.3 – Ecosystems information | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and customary framework | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 – Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

1. Improvement Action Plan and Benchmarking and Tracking Tool verification
   1. Improvement Action Plan verification checklist

***‘MSC Fishery Improvement Action Plan Template’ and ‘Benchmarking and Tracking Tool’***

*“Applicant Fisheries” are required to use the latest ‘MSC Fishery Improvement Action Plan Template’ (IAP) and the MSC Benchmarking and Tracking tool (BMT).*

***Start date of IAP***

*If the “Applicant Fishery” is part of an existing Fisheries Improvement Project (FIP) and has already started implementing improvement actions before entering the MSC Improvement Program, the Improvement Program Project Manager may include these actions within the Improvement Action Plan as long as any actions and tasks completed prior to the “Improvement Program Start Date” are clearly stated. Where there are multiple UoAs, please make it clear how the verification applies to each UoA.*

Table 9. Improvement Action Plan verification checklist

|  |  |
| --- | --- |
| Organisation or individual that developed the Improvement Action Plan |  |
| Improvement Action Plan developed after completion of Pre-Assessment Report/ “Full Assessment Report”? | *Yes / No* |
| Was the relevant ‘MSC Fishery Improvement Action Plan Template’ used? | *Yes / No* |
| Improvement Program Project Manager identified? | *Yes / No* |
| Improvement Program Project Manager commits to key responsibilities? | *Yes / No* |
| Start date of Improvement Action Plan | *DD/MM/YYYY* |
| End date of Improvement Action Plan | *DD/MM/YYYY* |
| Proposed date of MSC full assessment announcement (or in the case of a suspended MSC certificate, start date of the reinstatement process) | *MM/YYYY* |
| Improvement Action Plan covers every PI that scores <60 and 60-79? | *Yes / No* |
| Improvement Action Plan addresses the performance gaps identified in the Pre-Assessment Report or Full Assessment Report | *Yes / No* |
| Improvement actions and tasks are achievable within the timelines specified? | *Yes / No* |
| Improvement actions and tasks are likely to result in pass score? | *Yes / No* |
| Includes appropriate and quantitative metrics by which progress of actions and tasks will be demonstrated? | *Yes / No* |
| Provides information on funding and/or resources that are, or will be, in place to implement actions? | *Yes / No* |
| Identifies action leads and action partners who are responsible for implementing actions? | *Yes / No* |
| Includes proposed progress verification schedule? | *Yes / No* |
| **For “Applicant Fisheries” with suspended certificates** | |
| Improvement Action Plan addresses the cause of the suspension? | *Yes / No* |
| Improvement Action Plan includes all open conditions? | *Yes / No* |

* 1. Improvement action plan verification

*Where there are multiple UoAs, please make it clear which actions apply to which UoAs. Please delete PIs that don’t have any improvement actions associated with them.*

*This template has two tables below: one aligns with MSC Fisheries Standard v3.1 and one aligns with MSC Fisheries Standard v2.01. Please use the appropriate table and delete the other one.*

Table 10. Improvement Action Plan verification (Fisheries Standard v3.1) – delete as applicable

| **Performance Indicator** | **(Pre-) Assessment Report draft scoring range** | **Action ID(s) & Name(s)** | **Improvement actions and tasks are achievable within specified timelines?** | **Includes appropriate and quantitative metrics by which progress of actions and tasks will be demonstrated?** | **Reviewer Comments**  ***[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 *(1.2.3R if RBF used)* – Information and monitoring | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – In-scope species outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – In-scope species management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 (*2.1.3R if RBF used)* – In-scope species information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – ETP/OOS species outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – ETP/OOS species management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 (*2.2.3R if RBF used*) – ETP/OOS species information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – Habitats Outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – Habitats management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 (*2.3.3R if RBF used)* – Habitats Information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Ecosystem outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Ecosystem management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Ecosystem Information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and/or customary framework | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 –Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

Table 11. Improvement action plan verification (Fisheries Standard v2.01) – delete as applicable

| **Performance Indicator** | **Pre-Assessment draft scoring range** | **Action ID(s) & Name(s)** | **Improvement actions and tasks are achievable within specified timelines?** | **Includes appropriate and quantitative metrics by which progress of actions and tasks will be demonstrated?** | **Reviewer Comments**  ***[only required when “No” is selected as one of the answers]*** |
| --- | --- | --- | --- | --- | --- |
| 1.1.1 – Stock status | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.1.2 – Stock rebuilding | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.1 – Harvest Strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.2 – Harvest control rules and tools | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.3 – Information and monitoring | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 1.2.4 – Assessment of stock status | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.1 – Primary species outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.2 – Primary species management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.1.3 – Primary species information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.1 – Secondary species outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.2 – Secondary species management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.2.3 – Secondary species information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.1 – ETP species outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.2 – ETP species management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.3.3 – ETP species information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.1 – Habitats outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.2 – Habitats management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.4.3 – Habitats information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.1 – Ecosystems outcome | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.2 – Ecosystems management strategy | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 2.5.3 – Ecosystems information | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.1 – Legal and customary framework | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.2 – Consultation, roles and responsibilities | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.1.3 – Long term objectives | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.1 – Fishery specific objectives | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.2 – Decision making processes | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.3 – Compliance and enforcement | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |
| 3.2.4 – Monitoring and management performance evaluation | *<60 / 60-79 / ≥80* |  | *Yes / No / Not Applicable* | *Yes / No / Not Applicable* |  |

* 1. Benchmarking and Tracking Tool verification

Table 12. Benchmarking and Tracking Tool verification checklist

|  |  |
| --- | --- |
| BMT completed using ‘[MSC Benchmarking and Tracking Tool (BMT) Template](https://www.msc.org/for-business/fisheries/fips/fishery-improvement-tools)’? | *Yes / No* |
| UoA(s) in BMT match UoA(s) defined in Pre-Assessment Report or “Full Assessment Report”? | *Yes / No* |
| Scores for Year 0 in the BMT match the scores in the Pre-Assessment Report or Full Assessment Report? | *Yes / No* |
| BMT index is correct? | *Yes / No* |
| BMT index at time of application? | *Enter BMT Index* |
| The scores for Expected Years 1-5 reflect the Improvement Action Plan? | *Yes / No* |

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*Please delete the table below.*

Table 13. Template version control

|  |  |  |
| --- | --- | --- |
| **Version** | **Date of publication** | **Description of amendment** |
| 1.0 (Pilot) | 30 September 2019 | N/A – new document as part of ITM Program Requirements and Guidance – Pilot v1.0 |
| 1.1 (Pilot) | 09 December 2020 | Eligibility template separated from progress template and modified to allow recording of more detailed CAB findings.  Guidance added on improvement action plan template to be used and start date of action plan. |
| 2.0 (Pilot) | 01 May 2023 | Updated to align with MSC ITM Program Requirements and Guidance – Pilot v2.0 and Fisheries Certification Process v2.3/3.0. Performance Indicators for MSC Fisheries Standard v3.0 added. |
| 3.0 | 29 October 2024 | Updated to align with MSC Improvement Program Requirements and Guidance v3.0 and Fisheries Standard v3.1. |

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